

QLDC Risk Register

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Risk	Description	Risk Owner	Main Responsible Officer	Risk Type	Risk Category	Inherent Impact	Inherent Likelihood	Inherent Risk Score	Inherent Risk Rating
RISK00005	Ineffective cyber security provisions	MEAGHAN	RYANC	Operational	Business Continuity	5	3	15	Very High Risk
RISK00001	Major IT Systems failure	MEAGHAN	RYANC	Operational	Business Continuity	4	3	12	High Risk
RISK00002	Major Disruption Event to Council facilities	MEAGHAN	BILLN	Operational	Business Continuity	4	3	12	High Risk
RISK00003	Insufficient or inadequate ICT infrastructure	MEAGHAN	RYANC	Operational	Business Continuity	3	3	9	Moderate Risk
RISK00004	Insufficient or inadequate ICT applications/software	MEAGHAN	RYANC	Operational	Business Continuity	3	3	9	Moderate Risk
RISK00009	Ineffective management of community assets	THUNESC	SIMONB	Operational	Community	4	3	12	High Risk
RISK00012	Core infrastructure is Insufficient- Poor infrastructure planning	PETERH	STEPHENB	Operational	Community	4	3	12	High Risk
RISK00013	Core infrastructure is Insufficient- Delays in capital delivery programme	PETERH	GARETHN	Operational	Community	4	3	12	High Risk
RISK00055	Death, injury or major damage caused by falling trees on Council reserves	THUNESC	GRAEMED	Operational	Community	5	2	10	High Risk
RISK00011	Construction Mishap results in damage to private property and/or loss of community services	PETERH	GARETHN	Operational	Community	3	3	9	Moderate Risk
RISK00015	Temporary Core Infrastructure Outage- maintenance issues	PETERH	ERINM	Operational	Community	3	3	9	Moderate Risk
RISK00016	Temporary Core Infrastructure Outage caused by 3rd Party Supplier	PETERH	STEPHENB	Operational	Community	3	3	9	Moderate Risk
RISK00056	Ineffective provision for the future planning and development needs of the district	TONYA	IANB	Strategic	Community	3	3	9	Moderate Risk
RISK00014	Core infrastructure is Insufficient - Extreme Demand / Event	PETERH	GARETHN	Operational	Community	4	2	8	Moderate Risk
RISK00027	Contaminated Water Supply- Person(s) become ill or unwell	PETERH	ULRICHG	Operational	Community	4	2	8	Moderate Risk
RISK00028	Discharge of contaminants from council assets or infrastructure.	PETERH	STEPHENB	Operational	Community	4	2	8	Moderate Risk
RISK00006	Ineffective management of social nuisance issues	STEWB	ANTHONYH	Operational	Community	2	3	6	Moderate Risk
RISK00007	Ineffective provisions for non-English speakers	MEAGHAN	NAELLC	Operational	Community	2	3	6	Moderate Risk
RISK00008	Ineffective provisions for migrant populations	MEAGHAN	MICHELLEM	Operational	Community	2	3	6	Moderate Risk
RISK00010	Operational Asset failure results in damage to private property and/or loss of community services	PETERH	ERINM	Operational	Community	3	2	6	Moderate Risk
RISK00019	Ineffective planning for climate change affects	MEAGHAN	ANITAV	Operational	Environmental	5	3	15	Very High Risk
RISK00018	Damage to the Environment - Noise pollution events and facilities	STEWB	ANTHONYH	Operational	Environmental	3	3	9	Moderate Risk
RISK00017	Damage to the Environment - Discharge of contaminants	PETERH	DAVID	Operational	Environmental	4	2	8	Moderate Risk
RISK00058	Damage to the environment from landfill contamination	PETERH	BILLN	Operational	Environmental	3	1	3	Low Risk
RISK00020	Unexpected Change in Costs	STEWB	PADDYC	Operational	Financial	3	3	9	Moderate Risk
RISK00022	Ineffective procurement process	STEWB	GEOFFM	Operational	Financial	3	3	9	Moderate Risk
RISK00025	Ineffective Revenue and Financing Policy to set user charges	STEWB	PADDYC	Operational	Financial	3	3	9	Moderate Risk
RISK00023	Ineffective insurance provisions	STEWB	KATHYV	Operational	Financial	4	2	8	Moderate Risk
RISK00026	Ineffective management of our rating and development contribution model	STEWB	KATHYV	Operational	Financial	4	2	8	Moderate Risk
RISK00021	Unexpected Change in Funding	STEWB	PADDYC	Operational	Financial	3	2	6	Moderate Risk
RISK00024	Ineffective control processes to prevent theft / fraud by staff and contractors	STEWB	KATHYV	Operational	Financial	3	2	6	Moderate Risk
RISK00052	Ineffective application of Sensitive Expenditure Policy	STEWB	KATHYV	Operational	Financial	2	3	6	Moderate Risk
RISK00033	Failure to retain Building Consent Authority Accreditation or poor IANZ audit result	TONYA	CHRISE	Operational	Regulatory / Legal / Compliance	4	3	12	High Risk
RISK00029	Contaminated Water Supply- Death of person(s)	PETERH	ULRICHG	Operational	Regulatory / Legal / Compliance	5	2	10	High Risk
RISK00031	Ineffective management and governance over legislative compliance	MEAGHAN	BILLN	Operational	Regulatory / Legal / Compliance	3	3	9	Moderate Risk
RISK00035	Ineffective process controls for producing Land Information Memorandum (LIM) reports	TONYA	CHRISE	Operational	Regulatory / Legal / Compliance	3	3	9	Moderate Risk
RISK00032	Ineffective internal controls for conflicts of interest	STEWB	ALICEB	Operational	Regulatory / Legal / Compliance	2	4	8	Moderate Risk
RISK00030	Theft or fraud by a member of public	STEWB	KATHYV	Operational	Regulatory / Legal / Compliance	3	2	6	Moderate Risk
RISK00057	Ineffective privacy controls and protection of data held by Council	MEAGHAN	BILLN	Operational	Regulatory / Legal / Compliance	-	-	-	No Risk
RISK00046	Ineffective response to a civil defence event	MIKET	BILLN	Strategic	Strategic / Political/Reputation	5	3	15	Very High Risk
RISK00036	Ineffective complaints handling	MEAGHAN	MICHELLEM	Operational	Strategic / Political/Reputation	3	3	9	Moderate Risk
RISK00038	Lack of Alignment - Strategies and policies	MIKET	MICHELLEM	Strategic	Strategic / Political/Reputation	3	3	9	Moderate Risk
RISK00039	Failure to deliver the strategic plan	MIKET	MICHELLEM	Strategic	Strategic / Political/Reputation	3	3	9	Moderate Risk
RISK00040	Failure to deliver statutory reports	MIKET	MICHELLEM	Strategic	Strategic / Political/Reputation	3	3	9	Moderate Risk
RISK00041	Ineffective management of demographic and population changes	MIKET	MICHELLEM	Strategic	Strategic / Political/Reputation	3	3	9	Moderate Risk
RISK00044	Failure to work effectively with iwi	MIKET	NAELLC	Strategic	Strategic / Political/Reputation	3	3	9	Moderate Risk
RISK00045	Failure to inform elected members	MIKET	NAELLC	Strategic	Strategic / Political/Reputation	3	3	9	Moderate Risk
RISK00037	Lack of Alignment - Key relationships	MIKET	MICHELLEM	Strategic	Strategic / Political/Reputation	4	2	8	Moderate Risk
RISK00034	Ineffective management of culturally significant heritage assets	TONYA	IANB	Operational	Strategic / Political/Reputation	3	2	6	Moderate Risk
RISK00042	Ineffective training of Elected Members	MIKET	MICHELLEM	Strategic	Strategic / Political/Reputation	2	2	4	Low Risk
RISK00043	Ineffective electoral process	MIKET	NAELLC	Strategic	Strategic / Political/Reputation	3	1	3	Low Risk
RISK00047	Inadequate workforce capacity and/or capability to meet organisational needs	MEAGHAN	MEGHANF	Operational	Workforce	3	3	9	Moderate Risk
RISK00051	Ineffective application of Council internal policies	MEAGHAN	MEGHANF	Operational	Workforce	3	3	9	Moderate Risk
RISK00054	Changes to employment-related legislation that impacts upon Council operations	MEAGHAN	MEGHANF	Operational	Workforce	3	3	9	Moderate Risk
RISK00048	Ineffective security provisions for staff, contractors and public	MEAGHAN	MEGHANF	Operational	Workforce	4	2	8	Moderate Risk
RISK00053	Ineffective management of employment disputes	MEAGHAN	MEGHANF	Operational	Workforce	2	3	6	Moderate Risk
RISK00049	Ineffective leadership culture	MEAGHAN	MEGHANF	Operational	Workforce	2	2	4	Low Risk